

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): SINGER et al.
Serial No.: 10/563,175
For: AN ILLUMINATION SYSTEM FOR MICROLITHOGRAPHY
International Filing Date: 13 April 2004
US Filing Date: December 30, 2005
Examiner: Not Yet Assigned
Art Unit: Not Yet Assigned
Confirmation No.: 3763
Customer No.: 27,623 Attorney Docket No.: 637.0063USU

**Mail Stop PCT
COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, VA 22313-1450
Attention: DO/EO/US**

Sir:

**TRANSMITTAL OF MISSING PARTS OF APPLICATION AND
PAYMENT OF SURCHARGE UNDER 37 C.F.R. §1.16(e)**

Transmitted herewith are the following documents pertaining to the above-identified application:

- ☒ Declaration (37 CFR 1.63) for Utility or Design Application Using an Application Data Sheet (37 CFR 1.76) form executed by **Wolfgang SINGER, Joachim WIETZORREK, Joachim HAINZ, Gabriele WEIRAUCH, and Manfred MAUL**;
- ☒ Power of Attorney and Correspondence Address Indication form executed by **Wolfgang SINGER, Joachim WIETZORREK, Joachim HAINZ, Gabriele WEIRAUCH, and Manfred MAUL**;
- ☐ _____ Sheets of Formal Drawings;
- ☒ An Assignment of the Invention to : **CARL ZEISS SMT AG** including \$40.00 recordation fee and Assignment Recordation Cover Sheet;
- ☐ Information Disclosure Statement (Form - 1449);
- ☒ A copy of the Notification of Missing Requirements Under 37 U.S.C. 371;

Preliminary Amendment; and

X Petition and Fee for Extension of Time (37 CFR 1.136(A)).

The Filing Fee is calculated below.

CLAIMS AS FILED				
(1) For	(2) Number Filed	(3) Number Extra	(4) Rate	(5) Basic Fee \$300.00
Total Claims	** - 20 =	0	x \$50.00	\$
Independent Claims	* - 3 =	0	x \$200.00	\$
Multiple Dependent Claim Fee		x \$360.00 = \$0.00		
SEARCH FEE		\$500.00		
EXAMINATION FEE		\$200.00		
NATIONAL STAGE APPLICATION SIZE FEE (\$250 FOR EACH ADDITIONAL 50 SHEETS OVER 100 SHEETS)		\$		
TOTAL FILING FEE		\$PAID		
1/2 FILING FEE FOR SMALL ENTITY		\$		

X A check in the amount of \$ 290.00 to cover the surcharge (\$130), extension of time (\$120), and assignment recordation fee (\$40) is enclosed.

X The Commissioner is hereby authorized to charge any additional fees under 37 C.F.R. §§1.16 and 1.17 which may be required with this communication or during the entire pendency of the application, or credit any overpayment, to **Deposit Account No. 01-0467**. A duplicate copy of this Form is enclosed.

August 9, 2006

Date

08/14/2006 GFREY1 00000136 10563175

01 FC:1617 130.00 0P

08/14/2006 GFREY1 00000136 10563175

02 FC:1251 120.00 0P



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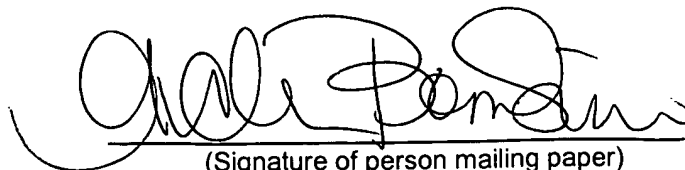
Telefax: (203) 327 6401

CERTIFICATE OF EXPRESS MAILING

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" Certificate No. **EV895201611US**, service under 37 CFR §1.10 and is addressed to: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, Attention: DO/EO/US on August 9, 2006.

Michelle M Bombino

(Typed name of person mailing paper)



(Signature of person mailing paper)



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
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U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/563,175	Wolfgang Singer	637.0063USU

INTERNATIONAL APPLICATION NO.

PCT/EP04/03854

27623
OHLANDT, GREELEY, RUGGIERO & PERLE, LLP
ONE LANDMARK SQUARE, 10TH FLOOR
STAMFORD, CT 06901

I.A. FILING DATE	PRIORITY DATE
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04/13/2004

07/30/2003

CONFIRMATION NO. 3763

371 FORMALITIES LETTER



OC000000018720696

Date Mailed: 05/09/2006

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 12/30/2005
- Copy of the International Search Report filed on 12/30/2005
- Preliminary Amendments filed on 12/30/2005
- U.S. Basic National Fees filed on 12/30/2005
- Priority Documents filed on 12/30/2005

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MAY 15 2006
OHLANDT, GREELEY,
RUGGIERO & PERLE, LLP

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fees required for this application is **\$130** for a Large Entity:

- **\$130** Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION,

WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

SHAKEEL AHMED

Telephone: (703) 308-9140 EXT 208

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/563,175	PCT/EP04/03854	637.0063USU

FORM PCT/DO/EO/905 (371 Formalities Notice)